

## Statutory Disclosures

*We hereby provide you with information in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002*

As a valued Finova client, you have the right to the information contained in this notice. Please familiarize yourself with the contents of this document. Please note that this does not form part of any financial needs analysis conducted by Finova.

### Details of the Financial Services Provider

<b>Name:</b>	<b>Finova (Pty) Ltd</b>
<b>FSB Registration Number:</b>	<b>FSP 21095</b>
<b>Physical Address:</b>	Office 5E, Broadacres Shopping Centre, Cedar Road, Broadacres, Sandton
<b>Postal Address:</b>	Postnet Suite 276, Private Bag X153, Bryanston, 2021
<b>Telephone Number:</b>	(010) 109 5500
<b>Compliance Officer:</b>	Talita Olivier, Tel: (021) 879 3064 ( <a href="mailto:info@40two.co.za">info@40two.co.za</a> )
<b>Legal Status:</b>	A private company established in 2004 A licensed financial services provider since 30 December 2005 Finova does not have a strategic shareholding in any other companies.
<b>Professional Indemnity Cover:</b>	Finova holds Professional Indemnity cover of R5 million and Fidelity cover in line with the requirements of FAIS.
<b>Conflicts of Interest Disclosure:</b>	Finova has a Conflict-of-Interest management policy which places all client interest before those of the company and its staff. A copy thereof is available upon request.
<b>Complaints management:</b>	Finova has a client complaints policy which will be made available on request. All customer complaints must be directed to Guy Algeo with a copy to Talita Olivier.

**Undertaking:** We, the representatives, aim to provide independent investment and financial advice that is tailored specifically to the particular needs of the client. We strive to serve and protect your best interests. We aim to provide a service that is professional, world-class and highly competitive.

### Details of the Representatives

Name	Email	Telephone	Under Supervision
Hempas Rademeyer	<a href="mailto:hempas@finova.co.za">hempas@finova.co.za</a>	+27 10 109 5501	No
Guy Algeo	<a href="mailto:guy@finova.co.za">guy@finova.co.za</a>	+27 10 109 5504	No
Gavin McCarter	<a href="mailto:gavin@finova.co.za">gavin@finova.co.za</a>	+27 10 109 5503	No
Gerhard Le Roux	<a href="mailto:gerhard@lerouxinternational.com">gerhard@lerouxinternational.com</a>	+27 82 458 2208	No

**Product – Finova is Authorized to provide services in respect of the following products:**

	<b>CATEGORY I</b> Intermediary Services	<b>CATEGORY II</b> Discretionary
Securities and Instruments: Shares	X	X
Securities and Instruments: Money market instruments	X	X
Securities and Instruments: Debentures and securitized debt	X	X
Securities and Instruments: Warrants, certificates, and other instruments	X	X
Securities and Instruments: Bonds	X	X
Securities and Instruments: Derivative instruments	X	X
Participatory interests in Collective Investment Schemes and Hedge Funds	X	X
Deposits defined in the Banks Act – exceeding 12 months	X	X
Deposits defined in the Banks Act – 12 months or less	X	X
Long-term Deposits	X	X
Short-term Deposit	X	X
Structured Deposits	X	X
Long-Term Insurance: Category B1	X	X
Long-Term Insurance: Category B2	X	X
Long-Term Insurance: Category B2-A	X	X
Long-Term Insurance: Category B1-A	X	X
Long-Term Insurance: Category C	X	X
Pension Funds Benefits and Retail Pension Benefits	X	X
Crypto Assets	X	X

**Details of the FAIS Ombudsman**

**Name:** Naresh Sunesh Tulsie  
**Physical Address:** Kasteel Park Office Park, Orange Building, 2<sup>nd</sup> Floor  
c/o Nossob & Jochemus Street, Erasmuskloof, Pretoria, 0081  
**Postal Address:** P O Box 74571, Lynnwood Ridge, 0040  
**Telephone Number:** (012) 762-5000 or (012) 470-9080  
**Fax Number:** (012) 348 -3447/ (012) 470 9097 or 086 764 1422

**Other Matters of Importance**

1. Do not sign any blank or partially completed documentation of any nature.
2. Complete all documentation in ink.
3. Retain all records handed to you.
4. Record all verbal disclosures made to you by a representative in writing.
5. You are under no obligation to accept any advice or disclosures made to you by any representative.
6. Please ensure that you are satisfied with the financial advice given to you and that such financial advice is suitable to your needs before you enter into a mandate with us.
7. Any complaints received will be logged and recorded.